

# The Structure of NCR inside $\Delta_2^0$

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(joint work with Theodore Slaman)

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# Measures on Cantor Space

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- Borel probability measures are uniquely determined by their values on the **Boolean algebra of clopen sets**.

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- We require  $\mu[\emptyset] = 1$  and  $\mu[\sigma] = \mu[\sigma \cap 0] + \mu[\sigma \cap 1]$ .
- If  $\mu\{X\} > 0$  for  $X \in 2^{\mathbb{N}}$ , i.e. if  $\lim_n \mu[X \upharpoonright_n] > 0$ , then  $X$  is called an **atom** of  $\mu$ . A non-atomic measure is called **continuous**.

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## Representation of measures

- The space  $\mathcal{M}(2^{\mathbb{N}})$  of all probability measures on  $2^{\mathbb{N}}$  is compact Polish. Furthermore, there is a **computable** surjection  $\pi: 2^{\mathbb{N}} \rightarrow \mathcal{M}(2^{\mathbb{N}})$ .

A compatible metric is given by  $d(\mu, \nu) = \sum_{n=1}^{\infty} 2^{-n} d_n(\mu, \nu)$ , where

$$d_n(\mu, \nu) = \frac{1}{2} \sum_{|\sigma|=n} |\mu[\sigma] - \nu[\sigma]|.$$

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$$d_n(\mu, \nu) = \frac{1}{2} \sum_{|\sigma|=n} |\mu[\sigma] - \nu[\sigma]|.$$

- One can also code a measure directly into a real  $R_\mu$  by recording for each  $\sigma$  the rational intervals in which  $\mu[\sigma]$  falls:

$$(\sigma, q_0, q_1) \in R_\mu \iff q_0 < \mu[\sigma] < q_1.$$

However, this representation does not have the nice topological properties as above.

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- A  **$\mu$ -Z-test** is a set  $W \subseteq \mathbb{N} \times 2^{<\mathbb{N}}$  which is c.e.  $(\Sigma_1^0)$  in  $r_\mu \oplus Z$  such that

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Clearly, a real  $X$  is **trivially**  $\mu$ -random if it is a  $\mu$ -atom.

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- Any non-recursive real is non-trivially random with respect to some measure [Reimann and Slaman]. However, the measure may have atoms.
- All except for countably many reals are random with respect to a continuous measure. The non-continuously random reals form a subset of  $\Delta_1^1$ . [Reimann and Slaman]



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The proof yields that  $\text{NCR} \subset \Delta_1^1$ .

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The proof yields that  $\text{NCR} \subset \Delta_1^1$ .
- The result can be generalized to continuous **n-randomness** (tests have access to  $\emptyset^{(n-1)}$ ). It holds that  $\text{NCR}_n \subseteq L_{\beta_n}$ , where  $\beta_n$  is least such that  $L_{\beta_n} \models \text{ZFC}_n^-$ .

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- Has an interesting metamathematical twist.

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- Which known properties imply being in NCR?
- Which properties are compatible with being NCR?

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- If  $\mu$  is continuous, given  $n$ , we can, for every rational  $\varepsilon > 0$ , compute (recursively in  $\mu$ ) a number  $l(\varepsilon)$  such that

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- Then  $(V_n)$  covers  $S$ .

# The Class NCR

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## **Theorem (Kjos-Hanssen and Montalbán)**

*If  $X$  is an element of a countable  $\Pi_1^0$ -class, then  $X \in \text{NCR}$ .*

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*Elements of countable  $\Pi_1^0$ -classes are also referred to as **ranked points**.*

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## **Theorem (Kreisel)**

*All ranked points are hyperarithmetical. Ranked points are cofinal in the hyperarithmetical Turing degrees: For every  $Y \in \Delta_1^1$  there exists a ranked  $X \geq_T Y$ .*

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*Cenzer et al. refined this to show that every instance of the jump  $\emptyset^\alpha$  is T-equivalent to some ranked point.*

Kreisel's analysis was based on the **Cantor-Bendixson rank** and the **boundedness principle**.



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- Essentially, a rank function for a  $\Pi_1^1$  set  $C$  is a mapping  $\phi : C \rightarrow \text{Ord}$  such that the initial segments of the **prewellordering** induced by  $\phi$  are **uniformly Borel**.

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- **Canonical rank** for  $\Pi_1^1$  sets is derived from **tree representation**. However, this rank may not be very informative.
- The **Kjos-Hanssen-Montalbán** result, combined with the fact that  $\text{NCR} \subseteq \Delta_1^1$ , suggests the Cantor-Bendixson rank for NCR.

## $\Delta_2^0$ Sets

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The  $\Delta_2^0$  sets are sufficiently concrete so we can analyze their behavior regarding NCR directly.

Let  $X_0$  be a recursive approximation to  $X$ , i.e. for all  $n$ ,  
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- The settling function  $m$

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Clearly, whenever you can compute the settling function, you can compute  $X$ .

# The Granularity Function

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The **granularity function**  $g_\mu : \mathbb{N} \rightarrow \mathbb{N}$  of a continuous measure  $\mu$  is given as

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Since for any measure,

$$\max\{\mu[\sigma \cap 0], \mu[\sigma \cap 1]\} \geq 1/2\mu[\sigma]$$

it follows that for all  $\mu$ ,

$$g_\mu(n) \geq n.$$

# Domination and Randomness

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## Theorem

*If  $X \in 2^{\mathbb{N}}$  is  $\Delta_2^0$  and random with respect to  $\mu$ , then  $c_X$  dominates  $g_\mu$ , i.e.  $c_X(n) > g_\mu(n)$  for all but finitely many  $n$ .*

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*This makes NCR compatible with other construction methods.*

*We can use it to construct examples in NCR with additional properties.*



# Specific Examples

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## Proposition

There exist elements in  $\text{NCR} \cap \Delta_2^0$  which are additionally

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The existence of 1-generic reals in NCR also shows that the Cantor-Bendixson rank is unsuitable for NCR.

*If a 1-generic is an element of a  $\Pi_1^0$ -class  $[T]$ , then  $[T]$  must contain a cylinder  $[\sigma]$ .*

## NCR and K-Triviality

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Montalban and Slaman applied the domination technique to show that a K-trivial is never continuously random.

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## Theorem

*If  $X$  is such that*

$$\exists c \forall n \ K(X \upharpoonright_n) \leq K(0 \upharpoonright_n) + c,$$

*then  $X \in \text{NCR}$ .*

# NCR and Incompleteness

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Barrington and Greenberg extended this analysis show that everything bounded by an incomplete r.e. set is in NCR.

# NCR and Incompleteness

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*If  $X \leq_T Y$  and  $Y$  is r.e. and  $T$ -incomplete, then  $X \in \text{NCR}$*

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- Then the function  $h(k) = (\text{code of}) X \upharpoonright_{f(k)}$  is **diagonally non-recursive** (dnr) (up to finitely many  $k$ ).
- Hence  $Y$  computes a dnr function, which is impossible according to the **Arslanov completeness criterion**.

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However, it appears the theorem is not a complete characterization of  $\text{NCR} \cap \Delta_2^0$ .

## **((Theorem))**

There is a  $\Delta_2^0$  set  $X <_T \emptyset'$  such that  $X \in \text{NCR}$  and  $X$  is not recursive in any incomplete r.e. set.

# The Descriptive Complexity of $\text{NCR} \cap \Delta_2^0$

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Finally, we can use the domination property to analyze the descriptive complexity of  $\text{NCR} \cap \Delta_2^0$ .



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## **Theorem**

*For each  $\Delta_2^0$  set  $X$ , there is an arithmetically defined sequence of compact sets  $H_n$  of continuous measures, parametrized by the place after which  $g_\mu$  is dominated by  $m_X$ , such that if  $X$  is 1-random relative to some continuous measure, then it is 1-random relative to some  $\mu$  in one of the  $H_n$ .*

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It follows that  $\text{NCR} \cap \Delta_2^0$  is an arithmetic set of reals.

## Further Directions

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For  $n > 2$ , the structure of  $\Delta_n^0 \cap \text{NCR}$  remains mysterious.

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- **Submeasures:** Talagrand, in his solution to Maharam's problem, recently constructed submeasures orthogonal to any continuous measure. This may provide a new technique to construct members of NCR.

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Some ideas:

- **Submeasures:** Talagrand, in his solution to Maharam's problem, recently constructed submeasures orthogonal to any continuous measure. This may provide a new technique to construct members of NCR.
- **Fourier coefficients:** Replace the analysis of the granularity function by a growth analysis of Fourier coefficients. There are a number of descriptive set theoretic tools available for this.

**Ende**